

**KENTUCKY LEGISLATIVE ETHICS COMMISSION**  
**22 Mill Creek Park**  
**Frankfort, Kentucky 40601**  
**(502) 573-2863**

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**EMPLOYER'S UPDATED REGISTRATION STATEMENT**  
**FOR THE PERIOD FROM \_\_\_\_\_ TO \_\_\_\_\_.**

*Attach additional sheets when necessary.*

**Due the 15th day of \_\_\_\_\_.**

☐ Employers who are also registered as legislative agents may mark this box and are not required to file a separate legislative agent updated registration statement.

**EMPLOYER**

Name of individual, business, or organization \_\_\_\_\_  
Name of person responsible for directing legislative activity \_\_\_\_\_  
Business address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Telephone \_\_\_\_/\_\_\_\_\_  
Source of funds and financial resources (applies to association, coalition, or public interest entity.) \_\_\_\_\_  
Real party in interest, if different from employer \_\_\_\_\_  
Nature of business \_\_\_\_\_

**LEGISLATIVE AGENTS ENGAGED BY EMPLOYER**

Name \_\_\_\_\_  
Mailing Address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Phone \_\_\_\_/\_\_\_\_\_  
  
Name \_\_\_\_\_  
Mailing Address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Phone \_\_\_\_/\_\_\_\_\_  
  
Name \_\_\_\_\_  
Mailing Address (number and street) \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_ Phone \_\_\_\_/\_\_\_\_\_

☐ **Information above is a change in information previously provided.**

List the specific bills/resolutions/issues lobbied during the reporting period. (Eg. HB 58; if none, so state.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

Since the last registration statement has employer terminated any legislative agents who were previously listed?

\_\_\_\_\_ YES \_\_\_\_\_ NO. If yes, list names of agents terminated and dates of termination. \_\_\_\_\_  
\_\_\_\_\_.

**EMPLOYER'S STATEMENT OF EXPENDITURES (KRS 6.821)**

Filers to use the accrual method of accounting. *Attach additional sheets when necessary.*

- A. Expenditures by employer for food and beverages consumed on the premises provided to individual legislator or individual legislator's immediate family, not included in events listed in section B, below. This information must be provided to the named legislators at least ten days prior to filing.**

Names \_\_\_\_\_  
Amount \$ \_\_\_\_\_ Date \_\_\_\_\_  
Description \_\_\_\_\_

Category A Total \$ \_\_\_\_\_.

- B. Expenditures by employer for receptions, meals, or events which qualify under KRS 6.611(2)(b) 8., 11., or 12. Note: trade associations are now included as sponsoring entity in KRS 6.611(2)(b)12.**

Names of individuals, or group of public servants invited \_\_\_\_\_  
Date and location of event \_\_\_\_\_ Amount \$ \_\_\_\_\_  
Description \_\_\_\_\_

Names of individuals, or group of public servants invited \_\_\_\_\_  
Date and location of event \_\_\_\_\_ Amount \$ \_\_\_\_\_  
Description \_\_\_\_\_

Names of individuals, or group of public servants invited \_\_\_\_\_  
Date and location of event \_\_\_\_\_ Amount \$ \_\_\_\_\_  
Description \_\_\_\_\_

Category B Total \$ \_\_\_\_\_.

- C. Expenses directly associated with employer's lobbying activities, during the reporting period, (other than personal expenses) including reimbursements to a legislative agent. Personal expenses are not deductible as a business expense under the Internal Revenue Code.**

Food, beverages, lodging and transportation \$ \_\_\_\_\_  
Office expenses \$ \_\_\_\_\_  
Professional & technical research & assistance (eg. consulting fees) \$ \_\_\_\_\_  
Educational & promotional items \$ \_\_\_\_\_  
Miscellaneous expenses \$ \_\_\_\_\_

Category C Total \$ \_\_\_\_\_.

**D. Compensation paid to legislative agents prorated using the accrual accounting method to reflect time legislative agents were engaged in lobbying during this reporting period.**

Agent \_\_\_\_\_  
Amount \$ \_\_\_\_\_

Agent \_\_\_\_\_  
Amount \$ \_\_\_\_\_

Agent \_\_\_\_\_  
Amount \$ \_\_\_\_\_

Agent \_\_\_\_\_  
Amount \$ \_\_\_\_\_

Category D Total \_\_\_\_\_.

**TOTAL OF ALL CATEGORIES \$ \_\_\_\_\_.**

**Notice:** State law requires legislative agents and employers to maintain receipts or records for all expenditures through the end of the second calendar year after the expenditure is made.

**REPORT OF FINANCIAL TRANSACTIONS (KRS 6.611(18), 6.824, 6.827)**

**If the employer was a party to a financial transaction, as defined below, the details required are provided on a separate statement filed with this updated registration statement. A copy of the attached statement was served on the official involved, in accordance with KRS 6.827(1), on \_\_\_\_\_ (date).**

**DEFINITION:** A financial transaction is a transaction or activity conducted or undertaken for profit and arising from the joint ownership, ownership, or part ownership in common of any real or personal property or any commercial or business enterprise of whatever form or nature between a legislative agent, his employer, or a member of the immediate family and a member of the General Assembly, the Governor, the secretary of a cabinet or any member of the staff of these officials.

**ANY PERSON WHO KNOWINGLY FILES A FALSE STATEMENT IS IN VIOLATION OF STATE LAW AND SUBJECT TO FINES AND OTHER PENALTIES.**

I CERTIFY UNDER PENALTY OF LAW THAT THE INFORMATION GIVEN IN THIS UPDATED REGISTRATION IS COMPLETE AND ACCURATE.

DATE \_\_\_\_\_ SIGNATURE \_\_\_\_\_  
(Employer)